

1 of 3

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10-15-01

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

PQU9-1001-001-US1

RECEIVED

In Re Application Of:

M. Spiegel, et al

Serial No.

09/912,193



Filing Date

July 24, 2001

Examiner

Mary Benton

Technology Center 2100

Group Art Unit

2151

Title: **METHOD AND APPARATUS FOR MANAGING SYSTEM RESOURCES IN AN INFORMATION
HANDLING SYSTEM**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

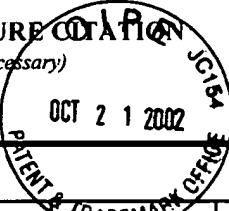
- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);

OR

 - the fee set forth in 37 CFR 1.17(p).

INFORMATION DISCLOSURE COTATION <i>(Use several sheets if necessary)</i>			Docket Number (Optional) POU9-2001-0077 US1		Application Number 09/912,193			
			Applicant(s) M. Spiegel, et al		Filing Date July 24, 2001			
			U.S. PATENT DOCUMENTS		RECEIVED <small>Group A-1 Unit</small> 2151			
					OCT 23 2002			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
							Technology Center 2100	2100
	A	4,649,479	03/10/1987	Advani, et al	364	300	Feb. 28, 1985	
	B	5,109,511	04/28/1992	Nitta, et al	395	650	May 30, 1989	
	C	5,815,731	09/29/1998	Doyle, et al	395	860	Oct. 31, 1996	
	D	5,838,968	11/17/1998	Culbert	395	674	March 1, 1996	
	E	5,951,644	09/14/1999	Creemer	709	229	Dec. 24, 1996	
	F	6,058,414	05/02/2000	Manikundalam, et al	709	104	Jan. 7, 1998	
	G	6,134,616	10/17/2000	Beatty	710	104	Oct. 28, 1996	
	H	6,145,021	11/07/2000	Dawson III, et al	710	8	June 2, 1998	
	I	6,182,149 B1	01/30/2001	Nessett, et al	709	247	Jan. 11, 1999	
FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
J	EP 0 893 761 A1	01.27.1999	European	G06F	9/46		✓	
K	JP 11085540 A	01.27.1999	Japan	G06F	9/46		✓	
L	JP 7311688 A	11.28.1995	Japan	G06F	9/46		✓	
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		z/OS UNIX System Services Planning, V1R1.0, GA22-7800-00, March 2001, pp. 288-290						
		z/OS MVS Initialization and Tuning Reference, SA22-7592-02, March 2002						
		z/OS MVS System Commands, SA22-7627-02, March 2002						
		z/OS MVS System Messages, Vol. 3, (ASB-BPX), SA22-7633-02, March 2002						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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2151

METHOD AND APPARATUS FOR MANAGING SYSTEM RESOURCES IN AN INFORMATION
HANDLING SYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0463 as described below. A duplicate copy of this sheet is enclosed.

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on October 18, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Susan L. Nelson

Typed or Printed Name of Person Mailing Certificate

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